ETHICS FORM	Yes	No- please comment
Are the Section One details		L
all complete?		
Are the start/end dates		
appropriate given the timing		
of the ethics application		
(start date far enough in the		
future to be after approval is		
received)?		
Is the anticipated research		
approach set out in Section		
2.4 in a way that explains		
e.g. what will be done, with		
whom, and over what		
timescale?		
Are Sections 2.5a and 2.5b		
answered appropriately in		
the context of the applicant's		
proposal?		
Does the answer to Section		
2.6 tally with the		
methods/participants the		
applicant sets out?		
Does Section 2.7 explain		
how the data to be collected		
will be treated?		
Does the answer to Section		
2.8 tally with the approach		
the applicant proposes to		
take in their project?		
Is evidence of consent from		
e.g. NHS Trust, provided		
where applicable?		
Is evidence e.g. screenshots		
from HRA/IRAS provided,		
where applicable?		
Are the responses in Section		
2.9 sufficiently detailed and		
comprehensive?		
Does Section 2.9		
acknowledge that		
confidentiality is only		
guaranteed to within the		
limits of the law; and is		
there (where applicable) a		
comment about raising		
safeguarding		
concerns/acting within the		
expectations of the		

applicant's professional code	
of conduct?	
Do the responses in Section	
2.9 match the information	
provided in the supporting	
documentation, e.g. consent	
form, PIS?	
Does Section 2.10 provide	
appropriately detailed and	
comprehensive information-	
such as including relevant	
risks and describing how	
these have been mitigated?	
Do the responses in Section	
2.10 match the information	
provided in the supporting	
documentation, e.g. consent	
form, PIS?	
Do the responses in Section	
2.9, 2.10, and supporting	
documentation,	
acknowledge that	
withdrawal of participants'	
data can only be up to the	
point of data processing?	
Does the response in Section	
2.11 provide appropriately	
detailed and comprehensive	
information- such as how	
any participants will be	
approached, how they will	
be selected, and use of e.g.	
codes/pseudonyms (as	
applicable)?	
Do Sections 2.11/2.12/2.13	
state that the data will be	
stored on the university One	
Drive (or an NHS server)	
and retained for 10 years	
following completion of the	
project?	
Does the applicant take	
account of issues such as if	
data is going to be collated	
by others and sent to the	
applicant, or if paper	
surveys/consent forms will	
be used- how will these be	
managed to maintain data	
security/confidentiality?	

CONSENT FORM	Yes	No- please comment
Does the consent form have		•
the University of		
Wolverhampton logo?		
Does it have a version		
number?		
Is the title of the study stated		
on the form?		
Is the researcher's name on		
the form, and is there a		
space for the researcher to		
sign and date the form?		
Does each question on the		
form have a box, which is		
clearly stated as being for		
the participant's initials?		
Are the statements/questions		
suitably worded to make it		
clear what the participant is		
consenting to- such as		
having had the opportunity		
to ask questions/have them		
answered, voluntary		
participation, withdrawal		
(up to what point, and what		
happens to any collected		
data), any issues such as the		
impact of		
participation/withdrawal on		
care/student progression,		
what methods will be used,		
data processing/storage, and		
voluntary consent to		
participate?		
Is there a space for the		
participant to write their		
name, date and sign?		
PARTICIPANT	Yes	No. plassa comment
INFORMATION SHEET	105	No- please comment
Does the PIS have the		
University of		
Wolverhampton logo?		
Does it have a version		
number?		
Are the title of the study and		
the name and position of the		
researcher stated on the		
form?		

Does the PIS explain the	
study: for example concepts	
such as the purpose/the	
aim/the necessity/value of	
the project?	
Does the PIS set out why the	
potential participant is being	
invited, and does it make it	
clear it is entirely their free	
choice?	
Are the methods/approaches	
clearly stated, including any	
risks/benefits?	
Is there a clear statement	
regarding confidentiality	
(which is stated as	
guaranteed only to within	
the limits of the law,	
indicating that any	
safeguarding concerns	
would be escalated- with a	
mention of being in	
accordance with a	
professional body if	
applicable), and does this	
match with the intended	
approach set out in the	
ethics form?	
Is anonymity set out	
appropriately (as	
applicable), and does this	
match with the intended	
approach set out in the	
ethics form?	
Is data management clearly	
described- how data will be	
used (including e.g.	
coding)/stored (on	
University One Drive)	
/retained (for 10 years post-	
project completion)?	
Is the time commitment for	
the potential participant set	
out?	
Is the right to decide not to	
participate/withdraw set out	
clearly: including when	
withdrawal can occur, what	
happens to any collected	
data, and any issues such as	

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the impact of		
participation/withdrawal on		
care/student progression? Are the contact details of the		
researcher included, and		
indicated as to be used if the		
(potential) participant has		
any questions?		
Are the details of the		
supervisor/s or Director of		
Studies included (for student		
applications)?		
Are the applicable details		
included for if the (potential)		
participant has concerns		
(Pro-Vice Chancellor for		
Research & Knowledge Exchange - Professor Prashant		
Pillai, MBE <u>p.pillai@wlv.ac.uk</u>		
or the administrative lead and		
Research Integrity Manager -		
Jill Morgan J.Morgan4@wlv.ac.uk)?		
<u>o.morgan-(o.m.ac.ak)</u> :		
	YES- please comment	NO
Any other		
forms/documentation, such		
as flyers?		
Do these forms/documents		
comply with ethical		
expectations (e.g. as set out		
in questions above)?		